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Marine Monitoring Platform Guidelines

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Remotely Operated Vehicles for use in marine benthic monitoring

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¹ National Oceanography Centre (NOC): <u>https://noc.ac.uk/</u>

² Department of Agriculture, Environment and Rural Affairs (DAERA): <u>https://www.daera-ni.gov.uk/</u>

Contents

Overv	iew	. 1
Logis	tics	. 3
Α.	Equipment	. 3
В.	Vessel Considerations for ROV operations	. 8
C.	Personnel Requirements	. 8
D.	Survey planning	. 9
Ε.	Risk Assessment	10
Opera	tional guidelines	10
Α.	Surface preparation (All ROV classes)	10
В.	Deployment	11
C.	Survey	11
D.	Recovery	12
Ε.	Stowage	12
Interp	retation guidelines	13
Qualit	y assurance measures	13
Data J	products	13
Data	a management	13
Refer	ences	15
Annex	1. Additional survey planning considerations and health and safety	18
Annex	2. Survey costs and time	20
Annex	3. Alternative options for surveying/sampling	22



Overview

Remotely operated vehicles (ROVs) are a powerful tool to survey and monitor benthic habitats and species, especially in depths beyond the range of SCUBA divers. Their manoeuvrability and real-time visualisation (through stills and video) means that operators can use them effectively to investigate the seabed with greater control of observations than would be achieved with towed or drop-down video camera frame.

A ROV is an unoccupied underwater robot that is connected to, and operated from, the water's surface. An ROV, in its simplest configuration, consists of the main body of the vehicle, thrusters (propellers), lights and associated video and stills cameras. They can be fitted to carry additional equipment, such as suction samplers and grabbers for example. The ROV is connected to the surface by a tether (or umbilical) that transmits electrical power and command and control signals to the vehicle and sends a return video stream and telemetry (data signals) back to the surface operator(s).

ROVs range in size from small, very portable observation class vehicles (<10kg) that are packaged in three suitcases and operated by a single pilot, to large ROV systems such as the ROV ISIS² operated by teams of pilots and that, as a complete system, weighs 72 tonnes and includes six, 6m long containers.

ROVs are particularly suited for epifauna surveys in habitats that cannot easily be sampled using a dredge or trawl, i.e. hard substrate, or where sampling/surveying gear using towed benthic gear is undesirable due to the sensitivity and/or conservation interest of species/habitats. They also represent a safe alternative to using SCUBA divers in some survey situations.

This procedural guideline provides general guidance on the use of ROVs and includes information on equipment, survey planning and general costs of using ROV for surveys and monitoring (see Table 1 for overview). Providing detailed guidance on all aspects of ROV operations is outside the scope of this document. Useful resources are the ROV manual by Christ and Wernli (2014) and the International Marine Contractor's Association (IMCA³) who provide guidelines for operations.

Depth	<30m	30-200m	200-1000m	>1000m
Sampling platform	Class I or Class II ROVs.	Class I, Class II or Class III ROVs.	Class I, Class II or Class III ROVs.	Class II (deep rated) or Class III ROVs.
Scale of operation	Fine (<25m²) - Meso (>25m² <1km²)	Meso - Broad (>1km²)	Meso – Broad	Meso – Broad
Habitat-type	Subtidal benthic habitats and water column. Could also be used in fresh and brackish water habitats, e.g. estuaries and deeper lagoons (using micro observation ROVs).			
Substratum -type	All substratum types, including static rock (bedrock, large boulder) and mobile rock (boulder, cobble, pebble) and sediments (gravel, sand, mud).			
Target community	Predominantly used to survey sessile epifauna and associated environmental variables. May also be used to observe pelagic species and sample infauna.			

Table 1. Overview of ROV use in four depth zones.

³ International Marine Contractor's Association (IMCA): <u>https://www.imca-int.com/divisions/rov/</u>



Samples produced	Qualitative and quantitative survey data includes scaled still and video footage of macro- and megafauna, observations of lebensspuren (footage of tracks, burrows <i>etc.</i>) and habitat/substrate descriptions. Multibeam survey data. Physical samples can include water samples, samples of sediment, rocks, and parts of, or whole organisms.			
Data products	Video and stills in temperature, salir altitude (e.g. pitch	nages of species and h nity, depth, oxygen <i>etc</i> n, roll, heading, altitude	nabitats, environmenta .), vehicle telemetry (in e etc.), high resolution	al data (including ncluding navigation, multibeam survey.
Cost per day⁴	£2,400-7,000	£4,500-36,000 (Class I or Class II ROV)	£33,000-55,000	>£50,000
Advantages o	of ROVs		Limitations of ROV	S
day4In the field of the second of		ROVs are more expertowed sampling platf prone to equipment f during surveys. Power is a limitation I ROVs and their per with increased depth high current velocitie weather conditions (I Boavida <i>et al</i> 2016; C ROVs are less rugge coverage per hour is drop cameras and to (Eletheriou 2013). Risk of tether entang snagging in vessel th obstacles such as dis As with all sampling introducing light and marine habitats, the local environment an species. Observation representative of nor community and beha (Bamstedt <i>et al</i> 2003)	ensive to run than orms and are more ailure/down time for the smaller Class formance reduces (due to tether drag), s and adverse Bates <i>et al</i> 2004; Guinan <i>et al</i> 2009). ed, and their area much less than wed sleds endement and orusters and scarded fishing gear. platforms movement to ROV will affect the d associated as may not be mal species aviour as a result b).	
and sponge rich habitats (Cook <i>et al</i> 2008; Iversen <i>et al</i> 2015).			(/-

⁴ Estimated cost based on planning, ROV and vessel hire, planning and day rate for on-board scientist/survey manager. Consumables, processing of samples and reporting are not included.



Logistics

A. Equipment

ROV classes

The aims, objectives and likely operating conditions will determine the type of ROV required for a survey. ROV systems are typically categorised depending on their weight, size, ability, and power. The procedural guideline follows the ROV classification scheme outlined by the IMCA (overview provided in Table 2).

Table 2. A range of ROV classes and description (L-R, images supplied by Marcus Shirley, Tim Mackie and Josh Davison).

ROV class	Class I: Observation ROVs.	Class II Observation ROVs (with payload option).	Class III Work-class ROVs (also referred to as science class in this document).
Definition and capability	Primarily intended for observation only. Fitted with camera and lights, may be able to handle one additional sensor as well as an additional video camera.	Larger vehicles than Class I, combine basic sampling/data collection functions with observation capability.	Wide capability, depth and power variations are possible. Used in deeper waters or where sampling capacity and cost allows for shallower surveys. The most complex and versatile of ROVs used.
Examples	VideoRay, OpenROV, DeepTrekker	Pollox, Saab Seaeye Falcon (DR), Cougar XT	Isis; Jason 2; Hercules; Holland, Kiel6000
Depth rating	Typically <150m	Deep Rated (DR) >300m	<6500m
Tether management	Free swimming - tether connected to ROV	Single body on main umbilical (live boating) or Tether Management System (TMS)	Single body on main umbilical (live boating) or TMS
Deployment type	Manual	Manual (<300m depth) or vessel A Frame/crane and winch or Launch And Recovery System (LARS) package. A moonpool is a further option.	LARS package or vessel A-frame/crane (for shallow deployment). A moonpool is a further option.
Vessel requirements	Fixed platform (jetty/pontoon), small RIB (with power supply) or other small vessel.	Shallow draught vessels suitable for inshore waters, for extended offshore surveys larger vessels will be used.	Suitably sized vessel with Dynamic Positioning (DP), deck capacity for container storage and LARS.

*From IMCA guidelines (IMCA 2009).



Topside control units, tethers and 'umbilicals'

A topside control unit (TCU) and tether (or umbilical) are required in addition to the actual ROV. The size and complexity of the TCU typically increases between ROV classes, with larger classes of ROV requiring more space for the TCU and skilled personnel to operate it (Figure 1). The tether is typically a multi-core cable (protected in sheaths of Kevlar) that transmits power and control signals from the surface to the camera, lights and thrusters (propellers), while receiving telemetry and sensor data (e.g. video footage) from the ROV. These returning data can be visualised by the TCU and used by the operators to 'pilot' the ROV.



Figure 1. Topside control units for a range of ROVs. Showing from Left-Right, a small Class 1 observation ROV (VideoRay), a Class 2 TCU (from Saab *Seaeye* Falcon DR) and a large work class ROV control van with several screens and crew (all images supplied by Marcus Shirley).

Tethers can be broadly categorised by their weight and material composition and vary widely in strength, power and signal transmissions between ROV classes (Figure 2). Where available, neutral or positively buoyant tethers are useful in sensitive habitats, where habitat features may be snagged and damaged by the tether.



Figure 2. From Left-Right increase in length of tether and storage requirement for a Class 1 observation ROV (VideoRay) to a Class 2 observation ROV (*Seaeye* Falcon) and to a work class ROV (Isis). (L-R images supplied by Marcus Shirley, Tim Mackie and Josh Davison).

Launch and recovery systems

Larger Class II and III ROVs are typically launched using a bespoke launch and recovery system (LARS), while smaller ROV classes favour the survey vessel's own system. The essential elements of an ROV LARS are a tether winch and crane, or A-frame, that are suitably rated to lift the ROV at its maximum weight (including the weight of the tether; Figure 3 a and b).

A Tether Management System (TMS) is an alternative to 'free swimming' (off the side of the survey vessel, tether attached directly to ROV), for operations with Class II or Class III ROVs. A TMS is a submersible unit that encompasses the ROV and its own winch. The two main types commonly used are the side entry TMS (garage/cage; Figure 3 c) or the 'top hat' TMS. During operations, the ROV is lowered into the water inside the TMS and, upon reaching the target location it is piloted to and from the TMS for the survey. The TMS protects the ROV during its launch and recovery. It also decouples the ROV from the motion of the surface vessel while enabling a larger operating radius

at the target location during a survey. TMS garages can be modified to carry tools and store samples.



Figure 3. ROV launch, recovery systems and tether management systems. Custom built ROV Launch and Recovery System (LARS) showing: a) folded LARS for Class II ROV (Saab Seaeye) and b) extended LARS. c) Garage type Tether Management System (TMS; Saab Seaeye).

Figure 4 (below) provides a diagram of all components of an ROV system working together. This configuration is not standard, e.g. a small ROV is manually deployed, but the TCU, tether and ROV are used in all systems (image supplied by Saab Seaeye⁵).



Figure 4. Diagram showing the main elements of an ROV system, the exact configuration and requirements will vary depending on ROV Class, the example shown is for a Class II ROV (image supplied by Saab Seaeye).

Navigation and positioning systems

To achieve accurate positioning of an ROV, acoustic telemetry is recommended to navigate and track the vehicle relative to the surface ship's satellite positioning system (GPS/dGPS (differential

⁵ <u>www.seaeye.com</u>

Marine Monitoring Platform Guidelines

Global Positioning System). Ultra-short baseline systems (USBL) are a widely used acoustic positioning tool. These consist of a transponder/responder beacon mounted on the ROV, which communicates with a transceiver on a pole/drop keel (slightly longer than vessel draught) fixed to the vessel hull. It is important to calibrate the distance between the GPS on the vessel bridge and the transceiver pole, and get a vessel datum point prior to the survey. The range and accuracy of the USBL is reduced by the presence of dense fish shoals, bubbles, jellyfish or similar. USBL systems are also less effective in shallower waters (<30m).

An alternative option to position an ROV where USBL acoustic positioning is less effective or not available, is to use the vessel's position (based on GPS/dGPS) as a proxy for the position of the ROV. To ensure accurate positioning, the ROV should be piloted to be below the boat during dropdown surveys. For transect surveys the dGPS fixes should be taken at the start and end points of transects. It should be noted that positions will be subject to a degree of error from the dGPS (US Department of Homeland Security 2017). Positioning accuracy will be reduced where the position of the ROV in relation to the boat is less certain, typically for deeper surveys or where currents are acting on the ROV.

Doppler velocity logs (DVL) use acoustic measurements to capture bottom tracking velocity. DVLs can provide updated velocities which can in turn be used to calculate distance travelled to indicate relative position.

In the absence of more accurate USBL data, a corrected position can be derived by applying a calculated 'layback' to the vessel's dGPS position. The layback is calculated by simple trigonometry using information on the length of tether deployed, the angle of the tether and the water depth (Coggan *et al* 2007a).

ROV accessories and other equipment

Depending on the size and power of the ROV, additional equipment can be carried to increase sampling and monitoring capabilities (see Table 3 below).

Table 3. Comparative table showing the variation in equipment/accessories available for three classes of
ROV. This list is not exhaustive and new technological developments and size reductions of equipment are
resulting in more options becoming available to smaller ROVs with less payload.

Equipment/	Class I	Class II	Class III
accessory type			
Cameras and lighting	All Class I ROVs have image/video capabilities. SD camera. Possible to add a high definition (HD) camera (GoPro type) that can be set to record video footage or stills. Light emitting diode (LED) lights.	GoPro can be added. Integral Video Overlay LED lighting/Incandescent lights. HD video camera(s). Tilt/pan and tilt camera.	Multiple cameras including: HD video and stills cameras to collect survey images; Video cameras to aid pilots and scientists undertaking monitoring survey; Video cameras to monitor tether and vehicle. Halogen and LED lighting. Strobe. Integral Video Overlay.
Laser scaling	Handheld diver lasers can be mounted.	4-5 point laser scaling.	Laser scaling.
Sampling accessories	Simple grabber can be mounted although will be small and limited in operation.	3 or 5-function manipulators.	One or two 7-function manipulators to pick up items from the seabed. Multiple push cores. Sifting scoops. Vacuum suction samplers (or slurp sampler). Simple bars, hooks and knives.



Marine Monitoring Platform Guidelines

			Other tools designed for specific
Sample storage	No sample storage.	Tooling skid. TMS garage can be modified to carry tools and offer storage facilities/space for small experimental set up and deployments.	ROV can incorporate a sample sled that includes bioboxes for containing organisms, geoboxes for stones. Retractable drawers.
Navigation and positioning	Possible to add ultra-short baseline system (USBL) beacon.	Auto heading, Autodepth. Altimeter. Compass. USBL beacon. Doppler Velocity Logs (DVLs).	Auto heading, Autodepth. Altimeter. Compass. USBL beacon, scanning sonars; USBL transponder. DVL.
Environmental sensor packages	Not usually.	Current meter. Conductivity temperature depth (CTD) sensor. Sidescan and multibeam sonars.	CTD. Acoustic Doppler Current Profilers (ADCPs). Sidescan and multibeam sonars.

Investigation of sponge-coral associations using manipulator arms and biobox

As part of the 'Changing Oceans Expedition' research cruise, a manipulator arm mounted on a work-class ROV (*Holland I*) was used to collect sponge specimens that had colonised coral rubble and the associated fauna from the Mingulay Reef Complex (Outer Hebrides Sea) and Logachev Mounds (south-east Rockall Bank). After collection, samples of the sponge–coral rubble association were transferred to the ROV biobox to be brought up to the surface for analysis (Figure 5). Research outputs were published by Kazanidis *et al* (2016).



Figure 5. The *Holland I* ROV manipulator arm, holding a sponge sample that is about to be placed in the biobox. (Image from the Logachev Mound (Rockall Bank), taken on the 'Changing Oceans Expedition', Roberts *et al* 2013).

B. Vessel Considerations for ROV operations

ROV equipment can be deployed from a wide range of vessels and static platforms (offshore infrastructure/coastal structures). The following key points should be considered when selecting a suitable vessel:

- Is the vessel suitable and capable for the area of deployment (See Table 4)?
- Does the vessel have the manoeuvring capability to carry out the survey plan?
- Does the vessel have the correct power supply for running the equipment?
- Is there suitable deck and sheltered cabin space for accommodating equipment and working comfortably and safely?
- Are there means for safe launching and recovery of the ROV?
- If the ROV is deployed and recovered manually is the freeboard of suitable height or are there hatches in the gunwales or transom that can be used?
- Does the vessel carry sufficient safety equipment and comply with current workboat codes of practice?
- If hired, do the vessel hire costs cover insurance, fuel or other costs such as winch operator?
- Are the skipper and crew competent (trained and/or experienced) in similar operations e.g. anchoring and positioning of vessel, holding courses, operating winches?

Environment	Vessel considerations		
Strong tidal waters	Dynamic positioning, winch capacity		
Inshore waters (<12NM)	Shallow draught, highly manoeuvrable, winch capacity, size		
Offshore waters	Dynamic positioning, stability, winch capacity, size		
(>12NM)			
Coastal waters	Shallow draught, highly manoeuvrable, stability, winch capacity		
Pontoons and Jetties	N/A		

Table 4. Outline key vessel considerations for different operating environments.

C. Personnel Requirements

The person with responsibility for the role of survey planning (e.g. survey manager) is required to ensure all operational stages are planned and safely executed. They should be competent (skilled and experienced) to carry out survey planning and management. For planned operations in <30m water depth, a survey manager familiar with towed vehicle benthic operations is required as a minimum. For more complex, demanding survey operations using Class II and Class III ROVs, the survey manager should have previous experience of seagoing surveys with similar vehicles, vessels and operations, as well as in their planning and assessment of risk. It is recommended that the survey manager/fully-briefed scientific staff are present on operations to advise and modify plans as necessary.

ROV Pilots

Competent ROV pilots are required to ensure a high-quality survey. Previous experience in the planned survey operation is preferred as ROV pilots experienced in other types of operation won't necessarily understand the specific needs of scientific ROV dives and may lack experience in manipulating organisms / obtaining samples effectively and efficiently.

The amount of experience required to be a competent ROV pilot varies among pilots based on their aptitude and skills (Table 5). Some ROV pilots may have an IMCA logbook to record flights but formal training isn't standard practice or a requirement across the industry. When commissioning an ROV pilot, experience in the make and model of ROV being used is important. The ability to carry out operations under difficult conditions, such as strong currents or limited visibility should be checked, depending on likely survey conditions.



Table 5. Requirements for ROV pilots of different classes.

ROV Class	Pilot requirements
Class I	 Operations require only one suitably skilled pilot, although an additional person to manage the tether is helpful. A tether manager for a small ROV can be trained very quickly and one of the deck crew could undertake this function with guidance from the pilot. If a tether manager is also able to pilot the ROV, the two pilots could swap roles after 4 hours (recommended time limit on screens) allowing a longer working shift.
Class II	 Operations require a competent pilot and tether manager. Operators are also required for winches and cranes/A-frames as necessary (may be vessel crew).
Class III	 A team of 4-6 pilots with extensive offshore experience are required (8-9 pilots to create two teams for 24 hour back-to back operations). The crew will consist of a supervisor and those with specialised roles, such as a ROV technician. Experience and competence are required rather than formal qualifications. ROV pilot grades required, including <i>ROV Supervisor</i>, with overall responsibility for the operation and engineers, pilots and navigators. Support staff such as winch operators and deck co-ordinators.

D. Survey planning

The level of planning required will depend on the survey requirements and complexity of the operations. The aim should be clearly stated when planning a survey. The survey manager should also provide a clear specification and briefing to the survey team and stakeholders if they themselves are not accompanying the survey. All elements of the survey, especially for potentially hazardous operations, should be identified and the risks assessed (further details for survey planning, risk assessment and health and safety provided in Annex 1).

Prior to the survey, the survey the details of research, personnel, transportation, equipment, timing, support, insurance and any special equipment handling, stowage or operational problems should be considered and resolved by the survey manager. Mobilisation and demobilisation plans should be in place. Data collection and storage and sample handling (if any) should be resolved. This includes the datasets that will be produced and how the data will be managed during and after the survey. Good organisation of data and sample labelling and consistent methods of recording and maintenance of digital and paper logs are good practice. All dive and data log templates/proformas should be developed and agreed before the survey to ensure all the necessary data and metadata is being recorded by the ROV and observational surveyors on board the survey vessel.

It is also advisable to have contingency plans that do not rely on ROV operations (for example CTD deployments, multibeam survey, towed sampling platforms e.g. towed benthic sled) to provide a back-up plan if the ROV fails or cannot be deployed due to adverse weather conditions.

The IMCA (International Marine Contractors Association⁶) provides specific guidance on ROV operations, which survey managers and contractors (pilots and vessel skippers) should be aware of and comply with. It is the ROV contractor's responsibility to ensure that each ROV system is supplied with the necessary documentation, including:

- Contractor's operations manual;
- Contractor's quality, health, safety and environmental management system;
- Technical manuals for system equipment;
- System daily log/report book/dive log;
- Planned maintenance system;
- Repair and maintenance record/spare parts inventory; and

⁶ International Marine Contractor's Association (IMCA): <u>https://www.imca-int.com/divisions/rov/</u>

• Pre- and post-dive checklists.

E. Risk Assessment

Risk assessment guidance for ROV operations is provided by the IMCA (IMCA 2009). The survey plans and operational risk assessment should address the risk from:

- ROV systems, including the ROV itself, winches and A frames, cranes;
- Electrical hazards, particularly from electric shock;
- Tether handling, including risk of entanglement in vessel thrusters or debris with risk of damage to ROV, vessel and injury of deck personnel;
- Vehicle recovery following damage/breakdown;
- Reduced observer situational awareness during survey operations; and
- ROV operations and other survey considerations (e.g. divers working with or in the vicinity of ROVs), including entanglement with tether, physical contact and electrical hazards.

Electrical hazards and subsequent fire risk are an important factor with most ROV use. Health and Safety briefings need to include this and all crew should be aware of how to isolate supply/generators and first aiders should refresh understanding of responding to/treating electrical shock. It is good practice to have additional fire extinguishers to hand (of appropriate type to deal with electrical and fuel related fires).

Operational guidelines

The operating procedures should normally consist of both the ROV contractor's standard operating rules together with any site-specific requirements and procedures. Contingency procedures for any foreseeable emergencies are also required (IMCA 2009).

A. Surface preparation (All ROV classes)

If the ship carries multibeam sonar, then traverses over the survey area will allow the detection and avoidance of any obstacles that could snag the ROV (Huvenne *et al* 2016). Alternatively, the ROV may be used to survey the area visually or, if equipped, using the ROV's scanning sonars (aids detection and avoidance of obstacles).

Pre-dive checks of the ROV should be undertaken, typically carried out by ROV pilots/technicians following a checklist that includes:

- A visual and physical inspection;
- Checking of command controls; and
- Vehicle response and data displays and ancillary tools.

The camera imaging, lighting and recording modes should be adjusted to ensure that the highest quality image can be maintained throughout the dive. Testing of the cameras and lighting must ensure that well-lit, high-resolution pictures can be obtained while the ROV is in flight (Hitchin *et al* 2015). Any unnecessary equipment should be removed from the ROV and the ballast of the ROV should be slightly positive (Christ & Wernli 2007).

The recording equipment and logs should be switched on before the ROV enters the water, once the vessel is positioned over the survey area. If additional equipment is being used such as a CTD, it is critical to also ensure the internal clock is synchronised with the ships GPS system and camera clock.

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B. Deployment

Test dives should be part of the schedule of all ROV operations to ensure that the ROV is in operational condition and the ballast is correct. A test dive provides the opportunity to make all relevant vessel crew familiar with the launch and recovery procedures.

The larger vessels used for research and scientific surveys using work class ROVs will have Dynamic Positioning Systems (DPS) and are likely to have a dedicated LARS. Where a 'vessel of opportunity' is being used, particularly in shallow, coastal waters then DP may not be available. Deployment and recovery may be manual (Class I and smaller Class II ROVs) or via the ships winch, cranes/A-frame.

The ROV should be launched and recovered mid-ship where possible, with the vessel at a standstill to reduce the risk of tether entanglement in boat thrusters (Coggan *et al* 2007a). For surveys in shallow waters, the vessel can be held using two-point anchoring (bow and stern). If anchoring must be avoided (i.e. for surveying sensitive habitats), or is not possible due to depth or other factors, either the boat can drift (where wind and currents allow) or the boat can motor slowly into the current or wind (Coggan *et al* 2007a). If drifting, then a sea anchor (drogue) can be used to slow drift (in calm conditions).

The ROV is lifted, moved over the side (gunwale) and carefully lowered into the water. The pilot should fly the ROV a short-distance away from the ship and then make it dive using its thrusters.

After the ROV is launched the acoustic positioning system (USBL) should be checked (Huvenne *et al* 2016; Lastras *et al* 2016) at approximately 20m to ensure it is functioning.

C. Survey

The area that can be covered by an ROV survey is highly variable and will depend on a range of factors including other scheduled surveys, sampling windows, other operational requirements and the depth of the survey area. For example, an ROV travelling constantly at 0.3 knots (0.15m/s) will cover 540m in an hour and at an image scale of 2m will have surveyed a transect area of 1123m² (approximately 0.001km²). Depending on the aims of the survey, different types of search pattern may be utilised. If anchored or using a shot line for example (shallow water surveys only), more complex search patterns can be used, including radial searches (spoke wheel pattern) or circular searches carried out by flying in ever increasing circles (must fly one circle clockwise and the other anticlockwise to not twist tether).

Transect surveys

Ideally the survey should be planned to allow the ROV to head into the prevailing current and upslope (Lastras *et al* 2016). The ROV should be positioned so that it is on course for the transect trajectory before the transect start-point, so that movements are stable when it reaches the start of the transect. Once the ROV is following the planned transect track the pilot can switch to 'autoheading' to hold course (if available auto-altitude can also be used). The pilot should aim to maintain the ROV at a constant height above the seabed that maintains a good field of view while attempting to avoid disturbing the substratum (sediment clouds will obscure the image; Hitchin *et al* 2015). During the survey, the USBL display will show boat and ROV position, allowing the skipper and ROV pilot to discuss tracks and adjust speed if required.

Video quality and subsequent habitat and species identification will be influenced by the speed of the ROV, the height above substratum and the field of view. Stopping and taking periodic close-up images during a transect provides additional detail and aids identification of species.

Coral morphometrics and fishing damage in the deep sea using ROV HD video

As ROV technology has developed, video and camera surveys by ROV are proving to be a key tool to increase knowledge of the abundance and distribution patterns of deep water habitats including cold water corals, without damaging these sensitive habitats. The value of ROVs for this purpose (and by extension, other deeper or sensitive habitats) is illustrated by Bo *et al* (2015) who surveyed and sampled Mediterranean deep-sea corals using a class II ROV (*Pollux*). The ROV carried a digital camera, a strobe, a high definition video camera, a navigation camera, a depth sensor, compass, and three laser beams providing a 10cm scale and three jaw grabbers to collect portions from five colonies for morphological analysis (Bo *et al* 2015).

The video image processing assessed density of characteristic species, identified morphometric characteristics of coral and provided quantitative estimates of fishing damage based on the number of frames showing lost gears (and direct damage to colonies by counting the number of entangled colonies; Figure 6). The images also allowed cohort groups to be identified to assess population structure.



Figure 6. Images of epifaunal communities entangled in fishing gear, taken by the ROV *Pollox* (Images supplied by Marzia Bo).

D. Recovery

For recovery, the vessel should be stationary by being either anchored or holding position using the DPS. Good practice is to surface the ROV away from the vessel (keeping clear of the vessel propellers). The tether should be taut to reduce tangling risk. Once at the surface the ROV should be flown to the vessel and recovered either manually or using the LARS or ships winch, cranes/A-frame used during the deployment. Alternatively, if a tether management system has been used the ROV will be flown to the TMS and the TMS recovered. The recovery requirements of the ROV in use should be adhered to, as specific ROV types will have different pre-ascent checks and procedures carried out before an ascent. For example, samples and tools will need to be stowed before the ascent.

E. Stowage

Post-dive ROV checks are required. Equipment should be cleaned with freshwater before being stowed and samples processed and treated according to their requirements. The ROV logs, GoPro video data, standard video data and USBL data can be downloaded into a folder to record the logs for archiving. At the surface after recovery, the period of unloading usually needs to be rapid to prevent sample deterioration. Samples can be frozen or preserved/stored in other ways as required.



Interpretation guidelines

The data extracted from the ROV stills and video imagery depends on the survey objectives and targets. Most benthic habitat surveys aim to extract biotope, taxonomic and substratum information from the imagery and to enumerate this in some way (e.g. percentage cover, abundance, SACFOR, frequency of occurrence). Further imagery interpretation guidance is provided by the North East Atlantic Marine Biological Analytical Quality Control Scheme (NMBAQC; Turner *et al* 2016).

Quality assurance measures

No specific quality assurance measures apply to ROV operations, however, the competence of personnel across survey planning, ROV and vessel operation and the selection of the correct ROV and equipment to meet survey aims and operating conditions will increase the likelihood of acquiring high quality survey data and monitoring results.

Best practice guidelines for interpreting video and still image data of benthic substrata and epibenthic species have been developed and should be referred to (see Turner *et al* 2016 and Hitchin *et al* 2015). These guidelines form part of the epibiota component of the NMBAQC developed on behalf of the UK competent monitoring authorities to provide assessment of marine biological data contributing to UK national or European monitoring programmes.

Data products

An ROV can collect qualitative and quantitative survey data, including physical samples of sediment, rocks, seawater and parts of / whole organisms (Table 6).

Equipment	Data/samples collected
Video and still cameras, lights and	Scaled still and video footage of epifauna, infauna
laser scaling	(footage of tracks, burrows or exposed parts) and
	substrate type.
Physical samples	Organisms, rock samples and stones, gas samples (for
	carbonate reefs).
USBL, DVL mapping software	Mapping data (depth, position, topography).
Environmental sensors	Conductivity (salinity), temperature, magnetic fields.
Push cores	Sediment and infaunal community.
Multibeam and sidescan sonar	Seabed mapping.

Table 6. Types of data/samples collected by ROVs.

Data management

Post processing, biological, environmental and acoustic data records should be appropriately archived. In the UK, the Marine Environmental Data and Information Network (MEDIN) promotes sharing of and improved access to marine data. To that end, MEDIN coordinates a network of Data Archive Centres (DACs) to secure long-term management of data, improve access through a central metadata portal and provide common standards⁷ (Figure 7). The MEDIN helpdesk can provide advice to data managers pre- and post-survey on metadata, as well as which DAC(s) are the most appropriate to use. The MEDIN helpdesk will also triage data to assess quality, ease of processing and ingestion. Appropriate data archived to MEDIN is shared among other relevant DACs. It is also automatically uploaded to a variety of other databases, including the European Marine Observation and Data Network (EMODNET).

⁷ MEDIN data guidelines: <u>http://www.oceannet.org/marine_data_standards/medin_data_guidelines.html</u>



Marine Recorder⁸ is a UK database which supports the capture and storage of marine habitats and species data. The standard data entry forms and tools it uses originated from the JNCC Marine Nature Conservation Review (MNCR). Marine Recorder has been built to funnel records to the National Biodiversity Network (NBN Atlas). However, records are also shared with the MEDIN Data Archive for marine Species and Seabed Habitats (DASSH⁹). DASSH can receive data in Marine Recorder, MEDIN data guideline and Ocean Biogeographic Information System (OBIS-ENV) formats. If data are supplied to DASSH in one of the formats specified above, ingestion is also at zero cost to the data supplier.

MEDIN also manages and updates the United Kingdom Directory of the Marine Observing Systems (UKDMOS¹⁰). UKDMOS is a metadatabase of monitoring programmes and series in the UK. This national database provides a searchable tool to identify marine monitoring programmes around the UK and provides point information where sampling occurred and evaluation metadata such as the parameters measured or the frequency of measurements taken, but not the survey data itself. UKDMOS can be contacted directly¹¹ to obtain a standard template to add a new monitoring programme or a new series to an existing monitoring programme.



Figure 6. Diagram showing a simplified flow for marine data in the UK, from collection on survey to storage in MEDIN data archive centres, Marine Recorder and other databases as indicated. MEDIN = Marine Environmental Data and Information Network; BODC = British Oceanographic Data Centre; UKHO = United Kingdom Hydrographic Office; BGS = British Geological Survey; DASSH = Data Archive for Species and Seabed Habitats; EMODNET = European Marine Observation and Data Network; OBIS = Ocean Biogeographic Information System; EUROBIS = European Node of the international Ocean Biogeographic Information System; GBIF = Global Biodiversity Information Facility; NBN Atlas = National Biodiversity Network Atlas.

¹¹ UKDMOS email contact: <u>ukdmos@bodc.ac.uk</u>

⁸ Marine Recorder: <u>http://jncc.defra.gov.uk/page-1599</u>

⁹ Data Archive for Species and Seabed Habitats (DASSH): <u>http://www.dassh.ac.uk/</u>

¹⁰ United Kingdom Directory of the Marine Observing Systems (<u>www.ukdmos.org</u>)



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Annex 1. Additional survey planning considerations and health and safety

ROV safe operating conditions and timing of survey in relation to currents, weather, season and visibility:

If the survey area is wave exposed or has strong currents, consideration should be given to whether an ROV is suitable. ROV contractors normally define clear environmental limits for operating an ROV that they supply. Small, Class I ROVs have less power than larger Class II vehicles, and current speeds above 1 knot (0.51m/s) impact flight capability (Coggan *et al* 2007a; Bergman *et al* 2009).

Survey plans should consider the habitats being surveyed (if known) and any operational adjustments to survey these safely. In areas with narrow gullies care should be taken not to trap ROVs. In areas of mobile sediments operations should be conducted to minimise sediment resuspension. In fragile habitats characterised by epifauna, operations can be planned to minimise risk of snagging and damage to both habitat and ROV. For example, a tether might be buoyed to prevent damage.

Likely weather conditions should be assessed, with surveys planned for times of the year that are likely to be less stormy. Visibility will be poorer in estuaries and near-shore environments following storms with high-levels of rainfall as increased sediments are washed into estuaries and wave induced sediment re-suspension increases. A survey should incorporate a 'poor weather contingency' buffer to allow extra time for the survey in cases where the survey vessel may not be able to carry out operations.

Seasonality of taxa growth and reproductive patterns should also be considered when planning surveys as these may alter the number of individuals present at different times of the year. Generally, macroalgal, hydroid and ascidian communities display the most tangible seasonal trends (Turner *et al* 2016).

The level of visibility (along with lighting) will determine the quality of photographs or video (Turner *et al* 2016). In UK waters visibility is reduced in spring and autumn due to phytoplankton blooms. As a general rule, summer is the best time for ROV surveys and slack water and neap tides (to limit resuspension) the best time of day (Bergman *et al* 2009). In areas with high tidal currents this may mean a survey window of only an hour or so. Using an ROV at slack water will also increase the chances of good visibility (Bergman *et al* 2009).

Any notification or dispensation requirements (such as carrying out scientific research from a fishing vessel) to carry out the monitoring work should be acquired. The Marine Management Organisation, Maritime Coastguard Agency and Statutory Nature Conservation Bodies (Natural England, Natural Resources Wales, Scottish Natural Heritage, Department of Agriculture, Environment and Rural Affairs, and Joint Nature Conservation Committee) can provide up to date advice.

Survey planning

Planning meeting(s) prior to the survey should be held with the vessel skipper, contractors (if used) and the lead ROV pilot/technician to agree how operations will be conducted. The key points to be agreed are:

- Tasks and roles, including, crew requirements/expectations.
- Risk assessments, health and safety, particularly with regard to electrical shock (see section on health and safety requirements, below).
- Power supply, winches and equipment to be supplied/operated.
- Deck layout or plan agreed with all relevant parties to inform them of the equipment location and service connections to allow safe operation and stowage.

- All work required by ship's staff for mobilising/demobilising the survey.
- Vessel positioning and anchoring (shallow water operations).
- Poor weather downtimes/contingencies for weather conditions and number of operational days required.
- On-board communications between skipper and pilot/tether manager.
- Any transect positions, latitude/longitude co-ordinates of areas and stations should be provided if required so that these can be loaded on to the ships chart plotter.
- Sample treatment and storage agreed e.g. kept in water tanks, freezers.
- Any additional, relevant ship operating protocols, e.g. shift work, catering, etc.

Risk Assessments and health and safety requirements.

The Maritime & Coastguard Agency (MCA) is the main regulator of maritime safety and is responsible for the safety of everybody in a vessel in UK waters and the safety of all seafarers on UK flagged vessels. There is an overlap between the jurisdiction of the MCA and the Health and Safety Executive (HSE). Other representative bodies that manage and advise on safety include trade associations.

The chosen vessel should provide crew that have the training and experience required to carry out the operation. Shipboard activities should be carried out in accordance with the Merchant Shipping and Fishing Vessels (Health and Safety at Work) Regulations 1997 and also comply with the requirements of the Merchant Shipping (International Safety Management (ISM) Code) Regulations 2014. The MCA issues guidance, Code of Safe Working Practices for Merchant Seafarers (MCA 2016) as to how the statutory obligations under the Merchant Shipping Act 1 should be fulfilled. Hired equipment and vessel equipment should be compliant with the guidelines laid out in the Code and compliance documents provided.

The Health and Safety Executive (HSE) provides guidance on risk assessment and templates for use. The survey manager or scientists in charge of the survey are responsible for developing a risk assessment that considers all aspects of the operation, what the risks are and how these should be managed.

Vessel Crew training and certification

Any vessel to be used must meet the requirements of the MCA code for the appropriate area and as such must show proof of licence and current SV1/2 Certificate. All skippers and crew should be commercially endorsed and hold appropriate experience and qualifications recognised and issued by the RYA (Royal Yachting Association) or the MCA for the task at hand. The crew should hold qualifications that include STCW basic Sea Survival, Personal Safety and Social Responsibilities (PSSR), First Aid at Sea, Fire prevention & Fire Fighting and be trained in the use of oxygen delivery systems and automated defibrillators.

For commercial work aboard vessels over 24m, crew should hold STCW Basic Safety Training course (STCW95 & STCW 2010) in accordance with the STCW Code A-VI/1. Crew should be trained in the use of all on board machinery and hold a record of training recognised by the National Workboat Association (NWA). In accordance with the MCA Code of Safe Working Practices (COSWP) and the 2006 Maritime Labour Convention (MLC) a vessel should be able to provide documentation showing compliance, e.g. safe working practices, permit to work forms, crew certificates. Scientific vessel users should also be required to pre-register with the vessel manager in advance of any surveys at sea. This registration includes a medical questionnaire and the skipper will be notified of any issues arising from this.

Personal Protective Equipment (PPE)

Life jackets should be provided by the vessel for all survey members and the survey team should have Personal Protective Equipment such as hard hats and steel toe-capped boots (pilots are likely to supply their own).



Communications

All personnel directly involved in the operation should be fully aware of the work being undertaken and the status of any unusual situation that may arise during operations. Communications between the ROV operating crew and any other relevant personnel (such as the support vessel crew) are also vital. Safety briefings are an essential part of going to sea and all personnel should be present for these. During offshore survey cruises, morning briefings are held to discuss weather conditions, science goals for the day, safety and personnel. The ROV team is also likely to hold a Toolbox talk, each day to discuss plans and issues.

Annex 2. Survey costs and time

The overview table for this procedural guideline (Table 1) provides estimated survey day rates that include survey planning and the costs of hiring a vessel and ROVs and pilots. It is intended that these costs serve as a guide only. For actual costs, a survey manager must always consult with organisations that hire ROVs or plan monitoring surveys for the most up to date information.

This annex expands on the costs estimated in Table 1 to provide additional budgeting support to survey managers. In Table 1, some staff costs for survey planning and an on-board scientist/survey manager are included in the overall estimate (as well as all equipment costs). However, the costs of consumables (such a sample pots, freezers for storage etc.) and post-survey sample and data processing, and reporting are not included in this estimate. Although these post-survey costs must be accounted for it is not within the scope of this procedural guideline to provide them. However, survey managers should be mindful of these additional costs when planning a survey and budget for them accordingly, see Table 7.

Equipment costs

Outline cost estimates for equipment hire (in 2018) are shown below in Table 9.

Equipment	Hire cost/day
Small Class 1 Observation ROV	£250-350
Class 2 Observation ROV	£350-450
Class 2 Observation class ROV- Deep rated (>300m)	£400-750
Small vessel and skipper/crew	£625-£2,500
Larger vessel with electric winch (skipper and crew included)	£2,500-10,000
Larger research vessel with electric winch, dynamic positioning and	£20,000-30,000
USBL (skipper and crew included)	
Large research vessel to deploy work class ROV on an extended cruise,	£30,000-50,000
water depths >200m (skipper and crew included)	

Table 7. Summary table of estimated equipment hire costs (in 2018).

Personnel costs

Training and certification costs are not included in personnel costs (Table 8) for hired staff as it is assumed that services that are contracted out will include trained and certified staff and equipment to carry out the service. However, we have included costs for a scientist or survey manager from the commissioning organisation to gain a sea survival certificate and ENG 1 medical certificate (may be mandatory on some vessels) and the costs of personal protective equipment. Day rates for ROV pilots are fairly standard across the industry but the cost of other staff such as scientists are highly variable and depend on the service provided and qualifications, experience and seniority.

Day rates for taxonomic consultants vary between £200-600/day, depending on level of experience and seniority. The level of experience and competence rather than formal qualifications is important (although awareness of NMBAQC standards would be expected). Staff costs are, therefore, presented as a range. Due to the high variability of taxonomic consultancy work it is very difficult to provide a representative cost for this service.

Marine Monitoring Platform Guidelines

Table 8. Summary table of estimated personnel costs to carry out survey and sample processing (in 2018).

Personnel	Day rates or other costs
ROV pilot	£350 (inshore) – 450 (offshore)
Scientist/survey manager/data analysts/reporting staff	£225-700
Taxonomic consultants	£200-600
Travel & Subsistence	£50-200
Sea Survival Certificate (certificate and salary cost)	Certificate 1-3 days £100-500, salary costs to
	attend course £225-2100
ENG 1 Medical Certificate	£80
Personal Protective Equipment (PPE), foul weather gear,	£250-700 per/person
toe-capped boots, hard hat	

Data archiving

Three costings scenarios were supplied by the MEDIN Data Archiving Centre DASSH¹², based on a representative range of scenarios that they encounter (Table 9).

Scenario	Description	Day allocation and cost
1	DASSH is core funded by Defra to archive data in MEDIN format, so there is no charge for data archival if it is provided in this format. It is estimated that MEDIN compliant data would require the timeline for archiving would depend on the current workload within DASSH.	2 days of archival time, £0
2	Small to medium sized datasets (<1,000 samples) with species abundances and locations (decimal degrees) that were supplied in an Excel spreadsheet but not in MEDIN format.	10 days @ £340/day (Total cost £3,400)
3	Provision of a multi-disciplinary, large dataset with species records provided as an Excel spreadsheet. Dates of samples and biotope locations are provided in a map in the report PDF. No data is presented in MEDIN format and extensive processing and Quality Assurance (QA) procedures are required.	20 days @ £320/day*. (Total cost £6,400)

*The reduced day cost is due to the proportional increase of time of staff with lower day rates (data officer and data manager time).

Cost variability

Key factors that lead to cost variation between surveys, include:

- Complexity of operations, number of stations to be surveyed, distance from shore and depth as:
 - planning requirements will be greater due to the increased complexity, scale and risk of all survey stages;
 - length of survey increases hire costs;
 - o distance travelled by vessel will affect fuel consumption and length of hire; and
 - ROV pilots are paid more when working offshore as less comfortable for pilot, more risk and more safety certificates required.
- Size and capability of ROV required as larger and more specialised ROVs and sampling tools are more expensive to hire and require more operators with higher skill/experience levels.
- Mobilisation and travel and subsistence rates of pilots and staff will be highly variable, depending on distance travelled and whether the pilots are working inshore and returning to hotels or housed on a larger vessel.
- Demand for ROV surveys from other sectors influencing hire rates.
- Sample processing costs for video and stills are highly variable depending on requirements, including:

¹² <u>http://www.dassh.ac.uk/</u>



- diversity in and between samples both in terms of the physical environment and the number of taxa;
- the level of detail to be derived from the imagery and additional characteristics to be recorded, e.g. evaluation of their quality of features, evidence of damage, presence of litter *etc*;
- level and type of breakdown of substrate composition required can be time consuming, especially for mixed sediments and variable seabed types;
- cost of developing a reference collection of georeferenced images of both biotopes, taxa and sediment classes; and
- \circ $\;$ quality control– how many samples are required to be re-analysed.
- Other types of sample and data processing, e.g. processing infaunal samples, radio carbon dating, *etc*.

Annex 3. Alternative options for surveying/sampling

Alternative options for completing required surveying and monitoring should be considered bearing in mind survey aims, costs and other considerations. Other sampling platforms may be used in conjunction with ROVs to better deliver survey aims. For example, a towed array or Autonomous Underwater Vehicle (AUV) may be used for broadscale surveys, with the ROV deployed to collect more finely resolved data in areas of interest. In shallow water with good visibility, aerial drones or small planes can also produce good images of habitats such as seagrass and can cover wide areas. The following sections outline key advantages and limitations of other sampling platforms (AUVs, SCUBA divers, drop-down cameras, towed sleds, towed water column video arrays (flying arrays) and landers).

Autonomous Underwater vehicles (AUVs)

Autonomous Underwater Vehicles (AUVs) are undergoing rapid development and are likely to become important sampling platforms (see Wynn et al 2014, for an overview). AUVs are typically either a cruising type or hover type. Currently these are considered reliable (in terms of not breaking down, maintaining a transect etc.) for carrying out transects and can fly close enough to the seabed to capture images (in areas of low relief). The cost of buying an AUV is much higher than an ROV of comparative capabilities.

Data is captured from the AUV post-survey. As there is no data stream/connection to a survey vessel the AUV cannot be diverted to capture images of something interesting or react to conditions. Intelligent guidance to identify areas of interest is under development.

SCUBA divers

The results of Diver and ROV surveys have been compared by a number of studies (Parry *et al* 2002; Lirman *et al* 2007; Cardigos *et al* 2015; Boavida *et al* 2016). Based on this evidence and personal communication with divers and ROV operators, the advantages and limitations of using ROVs and SCUBA divers for marine monitoring surveys are shown in Table 10.

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	Consideration	Diver surveys	ROV surveys		
	Operational	Limited to <30m but at this depth divers	Small ROVs in shallow waters restricted		
	depth	may be able to operate in higher currents	to currents <0.5knots. A limited number		
		than smaller ROVs (Boavida et al 2016).	of ROV models can survey to 6000m		
			depth.		
	Risk	Diving poses more risks to human health	ROVs have reduced ancillary safety		
	assessment	and safety.	requirements (no need of a hyperbaric		
			chamber) and reduce risks of surveying		
			in potentially hazardous environments.		
	Personnel/ skill	HSE certification for scientific diving has	No advanced skill level required to fly		
	requirements	rigorous training requirements.	the small observation ROVs as motor		
			control is straightforward (Saunders et al		
			2011). Note: to ensure safety and		

Table 10. Comparison of advantages and limitations of SCUBA divers and ROVs for surveys.



Marine Monitoring Platform Guidelines

		quality of survey, experience and
		competency are desirable.
Personnel requirements/ costs	Diving requires a larger team (minimum 4 divers, with one acting as supervisor) and shore management/cover. Typical: 4 HSE scientific divers, 1 supervisor. (£300-£400 day rate)	ROV requires a single pilot with optional tether manager for simple observation surveys using a micro ROV in <30m depth (more complex operations with Class II and Class III ROVs do, of course, require more than one person).
Survey requirements- scale	Divers may cover more ground in a survey (may be faster and with no restriction from tether). In studies, small ROVs have been found to be slow moving compared to divers (Cardigos <i>et al</i> 2015).	ROVs can survey longer, ascend and descend repeatedly (unlike divers), and survey multiple stations in one survey day (Boavida, <i>et al</i> 2016). ROVs proved less time consuming than diver operations and were useful for sampling a large area or a number of sites (Andaloro <i>et al</i> 2013).
Sampling aims/ requirements	Divers have increased spatial awareness, visual acuity and can zoom and focus cameras (Boavida <i>et al</i> 2016; Parry 2002). Divers are versatile and can adapt to situations (e.g. collect samples of interest) and can be more efficient and selective when collecting samples (Boavida <i>et al</i> 2016). Divers can communicate with surface support using full face masks and may be able to live- stream images to surface but this is not routine. Divers may be better at identifying small species and cryptic species or those hidden in crevices (Consoli <i>et al</i> 2009).	ROVs can collect continuous footage that is live-streamed to the surface to allow survey plans to adapt and change according to situation. Reported performance of ROV manipulator arm collection varies between species groups (and potentially may depend on operator skill). Reported performance of ROV manipulator arm collection varies between species groups (and potentially operator). For example, Hughes <i>et al</i> (2010) found that echinoids with tests could be successfully collected, whereas Kazanidis et al (2016), found that a small proportion of species living in association with sponges colonising coral rubble, such as polychaetes, were damaged by the ROV arm.
Sampling aims/ requirements	Different scope for carrying equipment than ROV, divers can carry a range of equipment including quadrats.	Small Class 1 ROVs have low power and can't carry many accessories. A larger deeper rated ROV could be used. Optional accessories include multibeam echosounder.
Survey quality	Quality of survey, based on comparison or results, is similar between both methods with some minor differences (Parry <i>et al</i> 2002; Boavida <i>et al</i> 2016).	

Towed drop-down cameras and towed benthic sleds

Other alternatives include drop cameras and towed benthic camera sleds (that are in contact with the seabed). These are generally cheaper, quicker, require less maintenance and are easier to deploy than ROVs. They can be towed to follow a line to conduct transects but there is little control over position and this lack of manoeuvrability is a key disadvantage when compared to ROVs. For transects, the advantage of a towed benthic sled is that the camera is always the same distance above the seabed so that the field of view is fixed, which facilitates the collection of imagery for quantitative data analysis. The sleds and drop-down camera can be fitted with laser scaling and other ancillary equipment such as CTD sensors.

Towed benthic sleds and drop-down cameras are typically more rugged than ROVs. As these are not self-propelled powered there are no thrusters to become tangled with fishing gear or other debris. Sleds and towed arrays may be used at greater speeds than ROVs allowing greater spatial coverage. As towed gear can be pulled by steel cables on which greater pulling force can be exerted they should be considered where obstacles and fishing gear may be a risk.



Marine Monitoring Platform Guidelines

Towed benthic sleds are not suitable for use in rocky areas or over areas with overhangs and canyons, but will be fine in areas of mobile sediment with some stones. Towed systems or AUVs are a good option for initial exploration of broadscale areas to identify areas of interest with ROV surveys commissioned to do higher resolution survey work thereafter.

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